SymphonyAI NetReveal Enterprise Investigation Management

Unified case management connects and consolidates financial crime detection

The challenge

Information used to build risk profiles is spread across highly fragmented IT landscapes and stored on disconnected systems, making it difficult to pinpoint where the greatest composite risks reside. High-risk customers, counterparties, transactions, and even employees evade adequate scrutiny as investigators are not presented with a complete picture of their risk. Growing volumes of related information flowing through business operations adds to this challenge of organizing the relevant outputs from disparate systems into one place.

Institutions benefit from a single view of data related to an entity across the customer lifecycle from on-boarding onwards. Risk executives need access to key risk indicators (KRI's) that are updated immediately, so that they are made constantly aware of current risk profiles across the business.

Our approach

SymphonyAI NetReveal Enterprise Investigation Management (EIM) spans the entire financial crime, risk, fraud, and compliance functions. It is an open and flexible case management platform that efficiently organizes data inputs (including third-party data), prioritizes and centralizes alerts and incidents into one enterprise-wide investigation platform to help manage investigations.

Robotic process automation (RPA) is integral to pre-processing, enriching, and routing alerts. As a consequence, investigators spend time on high-value analysis, rather than low-value data gathering or repetitive tasks. SymphonyAI NetReveal EIM Helping consolidate customer information from disparate systems during verification, EIM provides dynamic risk assessment for greater resource allocation and faster on-boarding decisions.

SymphonyAI NetReveal EIM provides:

Centralized 360-degree customer view
Covers all pillars of compliance and fraud – consolidating related alerts, evidence, and financial metrics. This central investigation source presents investigators with a single combined alert related to a customer or entity and improve efficiency by 30- 40%, fostering intelligence-led alert and case disposition decisions

Advanced automation
Dynamic and flexible tailoring of workflows using RPA in response to changing business challenges. 'Get next' functionality quickly navigates users through auto-prioritized queues with a single-click. Related data, such as inbound and outbound e-mail attachments, is seamlessly associated to alerts

Increased effectiveness and efficiency
Powerful visualization technology aggregates risk indicators across source systems and presents a holistic view to analysts. Transaction data is shown directly within a single user interface so that investigators can easily visualize a customer's flow of funds by channels, currencies, accounts and countries. Improves efficiency as users can focus on analysis rather than data gathering

Improved decision making
Investigation data is presented to investigation teams in a logical way, using instructional design and intuitive navigation techniques to simplify and accelerate decision making

SymphonyAI
NETREVEAL

Additional capabilities
Features and benefits

Single financial crime framework
Capture risk profiles across the entire customer lifecycle. Connecting suspicious events from disparate detection point solutions in a single control framework provides a rapid route for an integrated financial crime operational view

Built in audit control
Captures all analyst or administrator activity with timestamps, IP source and other data as part of an integrated view of risk

Role-based permissions
Granular controls award secure access to sensitive data, based on role. A single instance can support the needs of multiple teams spread across different locations and subject to different data protection laws

Interactive data grids
End users can personalize their own screens to their working style by selecting which fields to display in a list, drag and drop to change column order, re-size columns, and save preferences without engaging IT or SymphonyAI NetReveal consultants

Comprehensive search
Investigators can discover additional information pertinent to an ongoing investigation. Uses open source technology to index all data and allows end users to search on key terms in cases, alerts, and information embedded within documents
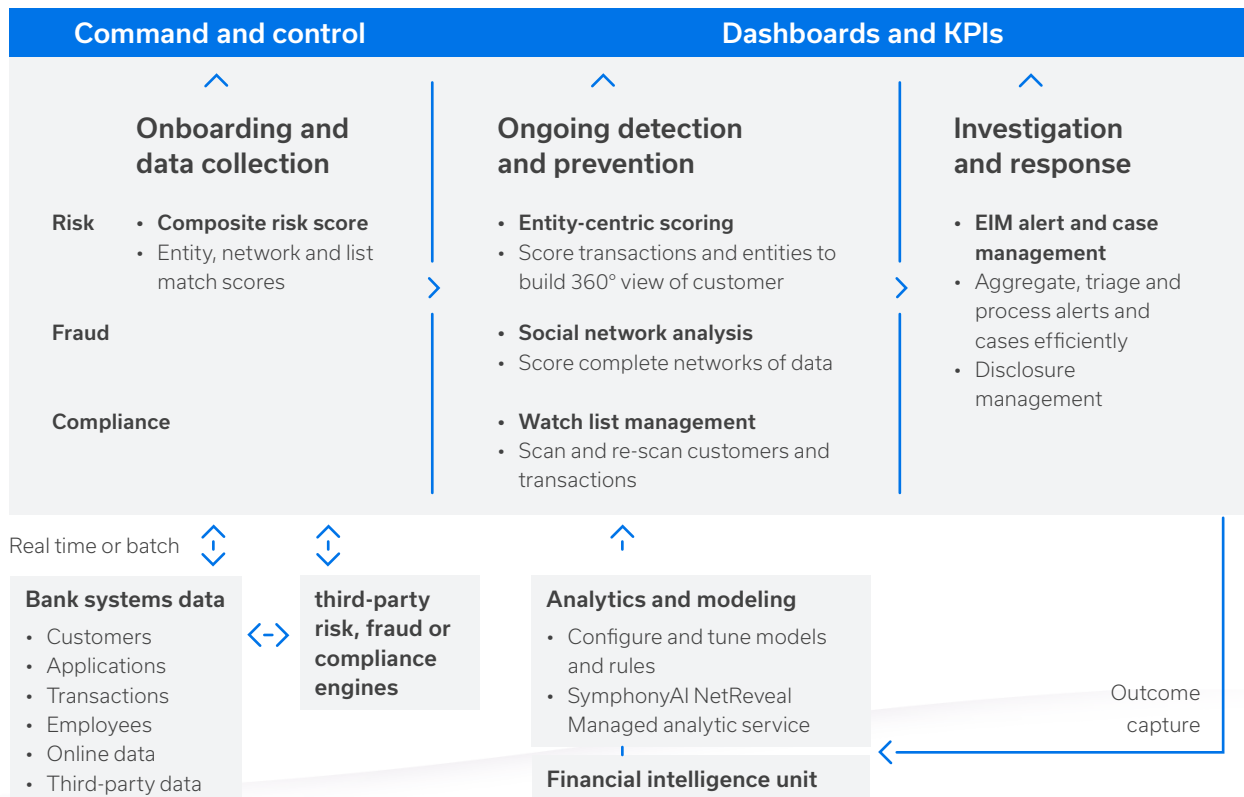
Integrated SymphonyAI NetReveal data privacy agent
SymphonyAI NetReveal includes a data privacy agent that helps financial institutions comply with region specific data privacy requirements such as GDPR for the European Union and the California Consumer Privacy Act (CCPA) for North American organizations.

SymphonyAI NetReveal data privacy agent:
- Provides out-of-the-box purge functionality to remove outdated data
- Includes important right to be forgotten privacy options
- Gives financial institutions a quick and cost effective method to comply with data privacy regulation compared to building custom database scripts or performing time-consuming manual name removal.

Unified case management

Command and control	Dashboards and KPIs	
Onboarding and data collection	**Ongoing detection and prevention**	**Investigation and response**

Risk
- **Composite risk score**
- Entity, network and list match scores

Fraud

Compliance

- **Entity-centric scoring**
- Score transactions and entities to build 360° view of customer

- **Social network analysis**
- Score complete networks of data

- **Watch list management**
- Scan and re-scan customers and transactions

- **EIM alert and case management**
- Aggregate, triage and process alerts and cases efficiently
- Disclosure management

Real time or batch

Bank systems data
- Customers
- Applications
- Transactions
- Employees
- Online data
- Third-party data

third-party risk, fraud or compliance engines

Analytics and modeling
- Configure and tune models and rules
- SymphonyAI NetReveal Managed analytic service

Financial intelligence unit

Outcome capture

> *SymphonyAI NetReveal unlocks the intelligence hidden in financial data – helping organizations accurately identify and prevent financial crime.*
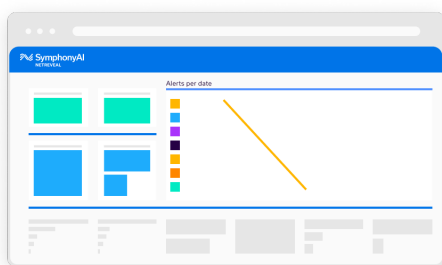
Entity focused investigations

On average, SymphonyAI NetReveal EIM helps you improve your investigator efficiency by 30 to 40%. Investigators are presented with a single combined alert, rather than multiple alerts for each triggered activity, saving you valuable time and maximizing efficiency.
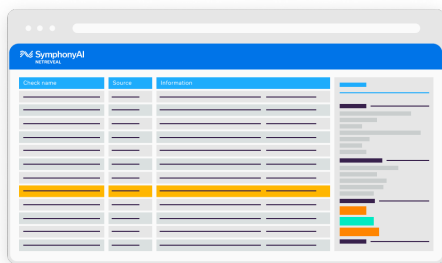
Customer risk dashboard

The customer risk dashboard provides a holistic visualization of the entire customer risk profile to enable your investigators to make faster, more informed decisions at onboarding or rescoring stages.
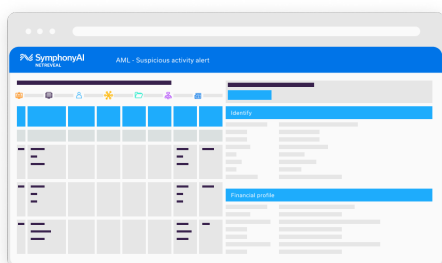
Intelligent event triage

Easily prioritize high-risk alerts so you can take swift action when necessary. AML transaction monitoring, CDD/KYC, and watch list management use advanced machine learning techniques to auto-hibernate alerts, ensuring investigators are always focused on the highest risk alerts.
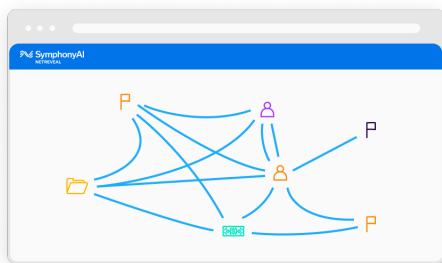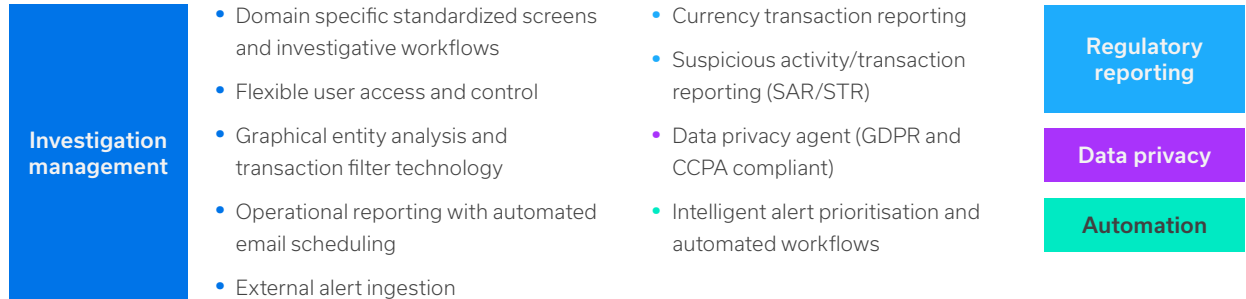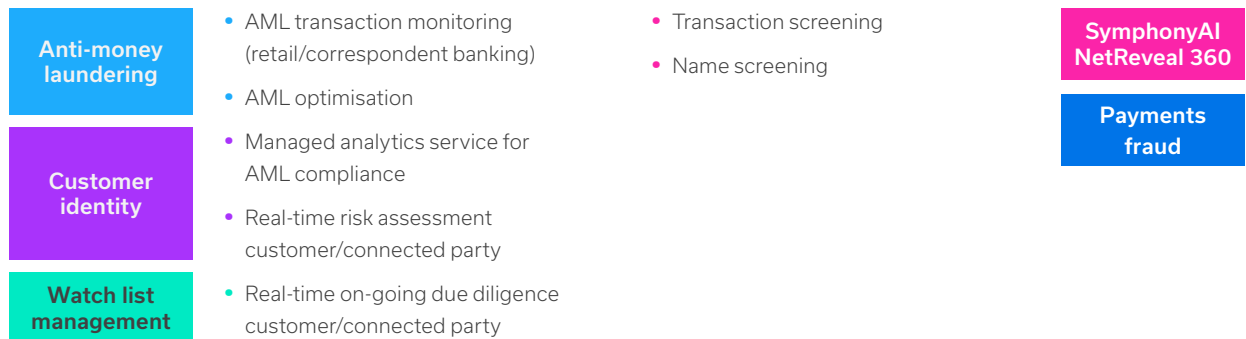
Superior transparency

You can view transaction data within a single user interface to easily visualize a customer's flow of funds by channels, currencies, accounts, and countries. Our intuitive format shows you the wider network of where and who the transactions are being sent to, for complete transparency.

Detect and prevent money laundering and fraud with SymphonyAI NetReveal enterprise investigation management.

SymphonyAI NetReveal EIM is a single consolidated alert and case management solution for compliance and fraud operations:

| **Investigation management** | • Domain specific standardized screens and investigative workflows
• Flexible user access and control
• Graphical entity analysis and transaction filter technology
• Operational reporting with automated email scheduling
• External alert ingestion | • Currency transaction reporting
• Suspicious activity/transaction reporting (SAR/STR)
• Data privacy agent (GDPR and CCPA compliant)
• Intelligent alert prioritisation and automated workflows | **Regulatory reporting**

Data privacy

Automation |

SymphonyAI NetReveal for AML compliance and counter fraud

| **Anti-money laundering**

Customer identity

Watch list management | • AML transaction monitoring (retail/correspondent banking)
• AML optimisation
• Managed analytics service for AML compliance
• Real-time risk assessment customer/connected party
• Real-time on-going due diligence customer/connected party | • Transaction screening
• Name screening | **SymphonyAI NetReveal 360**

Payments fraud |

About SymphonyAI NetReveal

SymphonyAI NetReveal, part of SymphonyAI, is a global leader in regulatory compliance, fraud and financial crime detection, investigation, and reporting. SymphonyAI NetReveal digital, AI, and data experts work collaboratively with financial institutions across the globe to detect and prevent financial crime and optimize risk management operations.

Request a demo or contact us for more information:

netreveal.ai/request-demo